

#4

PATENT

Practitioner's Docket No. 25885-703

APR 09 2002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chang-An Wu  
 Serial No. 10/026,361  
 Filed December 21, 2001

Group No.: 1616  
 Examiner: Unassigned

For: Water Miscible Pesticide Containing A Synergistic Cocktail of Plant Alkaloids

**Commissioner for Patents**  
 Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION**

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed February 5, 2002. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

**DECLARATION OR OATH**

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

**POWER OF ATTORNEY**

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

**SMALL ENTITY STATUS**

- IV. Applicant claims small entity status. See 37 CFR 1.27.

**COMPLETION FEES**

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$370.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$324.00
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$42.00
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
5. Assignment (See "ASSIGNMENT COVER SHEET".)	<u>\$40.00</u>
6. Total completion fees	\$841.00

**EXTENSION OF TIME**

- V. Applicant believes 1 month extension of term is required. Fee Due: \$55

**REQUEST FOR CORRECTED FILING RECEIPT**

- VI. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in

*Correct data*

1. Applicant name and/or addresses	- Chang-An Wu, Burlingame, CA; Hong Wu, Burlingame, CA; Lin Lei, Sichuan Province, CN--.
2. Priority Claim	-
3. Entity status	- Small

**TOTAL FEE DUE**

- VII. The total fee due is: Completion fees \$841.00 and Extension of Time Fees \$55.00.

**TOTAL FEE DUE \$896.00****PAYMENT OF FEES**

- VIII. Charge Account No. 23-2415 (25885-703) in the amount of \$896.00.

Please charge Account No. 23-2415 (25885-703) for any fees that may be due by this paper.

Shirley Chen, Reg. No. 44,608

Wilson Sonsini Goodrich & Rosati  
 650 Page Mill Road  
 Palo Alto, CA 94304  
 (650) 493-9300  
 Customer No. 021971

04/15/2002 BABRAHA1 00000103 232415 10026361

05 FC:215 55.00 CH

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**CERTIFICATE OF EXPRESS MAILING (37 C.F.R. 1.10)**

"Express Mail" Mailing Label No. EL 778599921 US

I hereby certify that this paper or fee is being deposited, with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: **Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.**

Date: April 9, 2002

Donna L. Hengst  
Donna L. Hengst



APR 6 2002  
U.S. PATENT & TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/026,361	12/21/2001	Chang-An Wu	25885-703

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

CONFIRMATION NO. 8135  
FORMALITIES LETTER  
  
 \*OC00000007420068\*

Date Mailed: 02/05/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

#### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$732.
  - \$648 for 36 total claims over 20.
  - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1602.**

04/15/2002 BABRAHA1 00000103 232415 10026361

01 FC:201	370.00 CH
02 FC:205	65.00 CH
03 FC:203	324.00 CH
04 FC:202	42.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

**RECEIVED**

04-11-02

Receipt *[Signature]*

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/026,361	12/21/2001	1616	0.00	25885-703	5	56	4

**CONFIRMATION NO. 8135**

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

**FILING RECEIPT**

\*OC00000007420066\*

Date Mailed: 02/05/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Chang-An Wu, [Residence Not Provided] Burlingame, CA;  
Hong Wu, [Residence Not Provided] Burlingame, CA;  
Lin Lei, [Residence Not Provided] Sichuan Province, CN--.

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF 09/655,613 09/06/2000

**Foreign Applications****If Required, Foreign Filing License Granted 02/04/2002****Projected Publication Date:** To Be Determined - pending completion of Missing Parts**Non-Publication Request:** No**Early Publication Request:** No**Title**

Water miscible pesticide containing a synergistic cocktail of plant alkaloids

**Preliminary Class**

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